



Live Facial Recognition Application / WAD / Cancellation Report

Terms & Definitions: Capitalised terms used in this Form LFR 1 shall have the meaning given to them in the MPS LFR Policy Document unless otherwise defined in this Form LFR 1.

Part 1 – Application

Guidance: Applicants should refer to the *LFR Application Process Guidance Flowchart* and the *LFR Human Rights Consolidated Guidance* when completing this document.

LFR URN: Click or tap here to enter text.

Date (dd/mm/yy): Click or tap here to enter text.

Section 1 [A]: Applicant

Name: Click or tap here to enter text. **Rank:** Click or tap here to enter text.

Warrant Number: Click or tap here to enter text. **Business Area:** Click or tap here to enter text.

Section 1 [B]: 'Where' - Proposed Location(s), Dates and Times

Time and Date: Date of Deployment/ Deployment Period (dd/mm/yy) **Time (hhmm)**

From: Click or tap here to enter text. Click or tap here to enter text.

To: Click or tap here to enter text. Click or tap here to enter text.

Safeguards for a Deployment Period longer than 24 hours (if sought):

- **Standard safeguards:**
 - Watchlist to be re-uploaded each day to ensure currency
 - Intel case to be reconfirmed as current each day and logged as such by Silver.
 - Gold to be consulted each day to ensure they are satisfied that the basis to Deploy continues to be made out. Silver to log Gold's authority with any further safeguards/restrictions.

• **Additional safeguards (by exception):**
Click or tap here to enter text.

Location(s): Click or tap here to enter text.

Map/images of the Location(s): Click or tap here to enter text.

Does the Deployment location(s) raise particular concerns given those expected to be at the location(s)?

Yes: No:

Note: See the MPS LFR SOP for further guidance.

Details: Click or tap here to enter text.



Section 1 [C]: 'Who' Watchlist Composition

Watchlist Category				
Watchlist Categories for inclusion:	Proposed for Inclusion?		Geography:	Any other considerations:
WC1 (Wanted on warrant by the courts)	<input type="checkbox"/>		Click or tap here to enter text.	Click or tap here to enter text.
WC2 (Suspect of having committed, or about to commit an offence)	Crime type(s) specified below:	<input checked="" type="checkbox"/>	Click or tap here to enter text.	Click or tap here to enter text.
	Click or tap here to enter text.			
WC3 (Subject to bail conditions, court order or other restriction)	Condition/order type(s) specified below:	<input type="checkbox"/>	Click or tap here to enter text.	Click or tap here to enter text.
	Click or tap here to enter text.			
WC4 (Missing Persons deemed increased risk)	Number of LFR 2 Forms Attached:	Click or tap here to enter text.	Click or tap here to enter text.	Click or tap here to enter text.
WC 5 (Presenting a risk of harm to themselves or others)	Number of LFR 2 Forms Attached:	Click or tap here to enter text.	Click or tap here to enter text.	Click or tap here to enter text.
WC 6 (Victim, a person who the police have reasonable grounds to suspect that person would have information of importance to progress an investigation, or close associate)	Number of LFR 2 Forms Attached:	Click or tap here to enter text.	Click or tap here to enter text.	Click or tap here to enter text.

Watchlist Confirmations

The following are proposed for inclusion on the Watchlist:

a. under 18-years-old	<input type="checkbox"/> Yes	Rationale: Click or tap here to enter text.	d. gender transition	<input type="checkbox"/> Yes	Rationale (with supporting advice attached): Click or tap here to enter text.
	<input type="checkbox"/> No			<input type="checkbox"/> No	
b. under 13-years-old	<input checked="" type="checkbox"/> Yes	Rationale (with supporting advice attached): Click or tap here to enter text.	e. non-police originated images	<input type="checkbox"/> DLS Advice	Rationale: Click or tap here to enter text.
	<input type="checkbox"/> No			<input type="checkbox"/> LFR Advice	
	<input type="checkbox"/> DLS Advice			<input type="checkbox"/> Yes – Layer A	
c. disability	<input type="checkbox"/> Yes	Rationale (with supporting advice attached): Click or tap here to enter text.			
	<input type="checkbox"/> No				
	<input type="checkbox"/> DLS Advice				



Section 1 [C]: 'Who' Watchlist Composition

	<input type="checkbox"/> LFR Advice	
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Section 1 [D]: 'What' (is the policing issue): Intelligence Case

Please outline key points from the intelligence case that support the proposed Deployment:

Click or tap here to enter text.

Section 1 [E]: 'Why' Objectives, Legitimate Aim & Legal Basis

Objectives: Please confirm the objective(s) for the proposed Deployment:

- Click or tap here to enter text.
- Click or tap here to enter text.
- Click or tap here to enter text.

Legitimate Aim: Please confirm the legitimate aim of the proposed Deployment:

Note: Select all that apply to the proposed Deployment. The annotations below indicate which legitimate aims can apply to justify intrusion against which ECHR articles. It does not confirm that there is intrusion against any particular ECHR article – this will be addressed at Section 1[F]. Should it become necessary to seek a different legitimate aim to those listed below, specific legal advice and input from the Data Protection Officer should be obtained before proceeding with this application.

<input type="checkbox"/>	Protect national security <small>ECHR Articles: 8, 10, 11</small>	<input type="checkbox"/>	Ensure public safety <small>ECHR Articles: 8, 9</small>	<input type="checkbox"/>	Prevent disorder and/or crime <small>ECHR Articles: 8, 10, 11</small>
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Law Enforcement Purpose: Please select the 'law enforcement purposes' that apply to the processing of personal data in relation to the proposed Deployment:

Note: Select all that apply to the proposed Deployment - the MPS provides for the use of overt LFR to locate those on a Watchlist where a law enforcement purpose exists. Specific legal advice and input from the Data Protection Officer should be sought for all other proposed uses.

<input type="checkbox"/>	Prevention, investigation, detection or prosecution of criminal offences.	<input type="checkbox"/>	Execution of criminal penalties.	<input type="checkbox"/>	Safeguarding against, and prevention of, threats to public security.
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Legal Basis: Please select the common law policing powers that provide the legal basis for the proposed Deployment:

Note: Select all that apply to the proposed Deployment. Should it become necessary to rely a different common law power to those listed below, specific legal advice should be obtained before proceeding with this application.

<input type="checkbox"/>	Protect life and security.	<input type="checkbox"/>	Preserve order and threats to public security.	<input type="checkbox"/>	Prevent and detect crime.
<input type="checkbox"/>	Bring offenders to justice.	<input type="checkbox"/>	Uphold national security.		

Section 1 [F]: Human Rights Act 1998 and Data Protection Act 2018 – Necessity & Proportionality

How does the intelligence case mean that:

a. the proposed Deployment and its associated Watchlist is <u>necessary</u> to achieve the legitimate aim in a democratic society; and that	Human Rights Act 2018
b. there are reasonable grounds to suspect that the proposed Deployment location is one at which one or more persons on the Watchlist will attend during the Deployment such that it is necessary to deploy; and that	Human Rights Act 2018
c. the processing of biometric and other personal data is <u>strictly necessary</u> to achieve the MPS's law enforcement purpose; and that	Data Protection Act 2018



Section 1 [D]: 'What' (is the policing issue): Intelligence Case	
d. the proposed Deployment and its associated Watchlist is <u>necessary to respond to a pressing need</u> ; and that	Data Protection Act 2018
e. the proposed Deployment and its associated Watchlist is <u>necessary</u> on at least one of the following grounds (identify which ground(s) apply):	Data Protection Act 2018 (Please identify all that apply)
i. necessary for the MPS's lawful policing purposes ¹ for reasons of <u>substantial</u> public interest:	<input type="checkbox"/> Yes <input type="checkbox"/> No
ii. necessary for the administration of justice:	<input type="checkbox"/> Yes <input type="checkbox"/> No
iii. necessary for the safeguarding of children and/or of individuals at risk:	<input type="checkbox"/> Yes <input type="checkbox"/> No
iv. necessary archiving, research or statistical purposes.	<input type="checkbox"/> Yes <input type="checkbox"/> No
Please outline your rationale for a – e (above) here:	
Click or tap here to enter text.	
Outline how the proposed Deployment (including its location, duration and associated Watchlist) is <u>proportionate</u> insofar as:	
<ul style="list-style-type: none"> the policing need to deploy LFR outweighs any human rights infringements; and the policing need to deploy LFR outweighs the data protection rights arising from the processing of personal and biometric data. 	
In reaching this professional judgment, confirm:	
<ul style="list-style-type: none"> what other less intrusive measures have been considered and why would they be unsuitable on their own in achieving the legitimate aim; and any Deployment-specific safeguards proposed for adoption to help further mitigate impact on human rights and reduce the level of data processing. 	
Click or tap here to enter text.	
Section 1 [H]: Compliance with LFR Documents	
The proposed LFR Deployment outlined in this application is:	
<input type="checkbox"/>	compliant with the MPS LFR Documents; or
<input type="checkbox"/>	not compliant with the MPS LFR Documents.
Summarise any non-compliance with MPS LFR Documents and the reasons why the changes from the MPS LFR Documents are necessary and proportionate in the context of the proposed LFR Deployment:	
Click or tap here to enter text.	

¹ This being defined as 'is necessary for the exercise of a function conferred on a person by an enactment or rule of law' in the Data Protection Act 2018. This will typically be the ground relied on to support MPS Deployments of LFR since this recognises the policing powers relied on to support MPS Deployments of LFR since this recognises the policing powers conferred on a Constable.



Part 2 - Written Authority Document (WAD)

Guidance: Authorising Officers should refer to the *LFR Authorisation Process Guidance Flowchart* and the *LFR Human Rights Consolidated Guidance* when completing this document.

LFR URN: Click or tap here to enter text.

Section 2 [A]: Urgency

Urgency: If AO is below rank of Superintendent (minimum of Inspector), please detail how the urgency criteria applies.

Click or tap here to enter text.

Section 2 [B]: Compliance with LFR Documents

Note: 'No' to both of the statements in section 2 [B] means the application must be declined.

I have considered this application and am either:

a. satisfied that the proposed Deployment complies with the MPS LFR Documents; **or** Yes
 No

b. otherwise satisfied that it is nevertheless appropriate to consider the application to Deploy LFR technology. Yes
 No

Summarise any non-compliance with MPS LFR Documents and provide rationale if proceeding to consider the application:

Click or tap here to enter text.

Section 2 [C]: Legitimate Aim & Objectives

Note: 'No' to the statements in section 2 [C] means the application must be declined.

I am satisfied with the legitimate aim and objectives for the proposed Deployment and the legal basis underpinning it. Yes
 No

Section 2 [D]: Watchlist Considerations

Note: 'No' to the statement in section 2 [D] means the application must be declined.

I authorise the Watchlist composition. I am satisfied that all reasonable steps have been taken to ensure that the composition of the Watchlist complies with MPS LFR Documents, including the legality, necessity and proportionality criteria: Yes
 No

Specific considerations relating to the Watchlist for approval:

a. under 18 years-old Approved
 Images must not be used

b. under 13 years-old Approved
 Images must not be used

c. disability Approved
 Images must not be used

d. gender transition Approved
 Images must not be used

e. non-police originated images Approved
 Images must not be used

Section 2 [E]: Human Rights Act 1998 – Necessity & Proportionality

Note: 'No' to any of the statements in section 2 [E] means the application must be declined.

I am satisfied that:

a. the proposed Deployment and its associated Watchlist is necessary to achieve the legitimate aim in a democratic society: **and** Yes
 No



Part 2 - Written Authority Document (WAD)

b. there are reasonable grounds to suspect that the proposed Deployment location is one at which one or more persons on the Watchlist will attend during the Deployment such that it is necessary to deploy: and	<input type="checkbox"/> Yes <input type="checkbox"/> No
c. necessary notwithstanding expectations people may have in relation to their privacy and other human rights: and	<input type="checkbox"/> Yes <input type="checkbox"/> No
d. the processing of biometric and other personal data <u>is strictly necessary</u> to achieve the MPS's law enforcement purpose: and	<input type="checkbox"/> Yes <input type="checkbox"/> No
e. the proposed Deployment and its associated Watchlist is <u>necessary to respond to a pressing need</u> : and	<input type="checkbox"/> Yes <input type="checkbox"/> No
f. the proposed Deployment is and its associated Watchlist is necessary for the MPS's lawful policing purposes ² for reasons of <u>substantial</u> public interest	<input type="checkbox"/> Yes <input type="checkbox"/> No

Please outline the rationale for your decision:

Click or tap here to enter text.

I acknowledge that the Deployment of LFR will result with the infringement of human rights and processing of personal data of persons not on the Watchlist. However, I am satisfied that the safeguards and mitigants detailed within the MPS LFR Documents, and those detailed below, provide sufficient mitigation to ensure that the Deployment will be proportionate such that the need to deploy LFR outweighs i) any human rights infringements; *and* ii) the data protection rights arising from the processing of personal and biometric data:

Yes
 No

Please outline the rationale for your decision:

Click or tap here to enter text.

Section 2 [F]: Safeguards and Mitigants

Note: 'No' to any of the statements in section 2 [F] means the application must be declined.

I have reviewed and adopted (amending where necessary and appropriate) the Data Protection Impact Assessment, Equality Impact Assessment (including in relation to the Public Sector Equality Duty) and the Surveillance Camera Commissioner's Self-Assessment. I am satisfied that the control measures detailed within those documents, the diligence already conducted on the algorithm in the context of this planned Deployment, and further measures specified at Section 2(G) of this document are appropriate mitigants for the Deployment.	<input type="checkbox"/> Yes <input type="checkbox"/> No
I have reviewed the Community Impact Assessment (CIA) for the Deployment with particular regard to any points raised in relation to (i) those proposed for inclusion on the Watchlist and (ii) those likely to pass the LFR system. I am satisfied that the control measures in place for the Deployment are sufficient to address any concerns identified in the CIA.	<input type="checkbox"/> Yes <input type="checkbox"/> No
I am directing that all Police Officers and Police Staff engaged in the Deployment must receive MPS LFR Training as per the MPS LFR Documents prior to the Deployment.	<input type="checkbox"/> Yes

² This being defined as 'is necessary for the exercise of a function conferred on a person by an enactment or rule of law' in the Data Protection Act 2018. This will typically be the ground relied on to support MPS Deployments of LFR since this recognises the policing powers relied on to support MPS Deployments of LFR since this recognises the policing powers conferred on a Constable.



Part 2 - Written Authority Document (WAD)

		<input type="checkbox"/> No
I have had regard to the SRO's direction in relation to the 1:1000 False Alert Rate, the Equality Impact Assessment, and the Metropolitan Police Service Facial Recognition Technology: Understanding Accuracy and Demographic Differences document. I authorise the minimum threshold setting as follows.		Click or tap here to enter text.

Information: Should the authorised threshold be below 0.6, this requires specific justification as to its necessity and proportionality, together with any associated safeguards to be set out below at the Further AO comments section.

Please summarise any guidance and direction received from the Data Protection Officer (DPO) and Information Law Security Group (ILSG) for this Deployment.

Information: The roles of the DPO and the ILSG are an important part of the process in the pre-Deployment planning stage of any LFR Deployment. Their advice is crucial to ensuring that any proposals meet the requirements of the DPA 2018. As well as providing advice, the DPO is able to monitor compliance with the DPA 2018, and in doing so provides assurance to the Commissioner. The DPO's involvement in the pre-Deployment planning process is evidenced by their sign-off of the overarching DPIA for LFR and any amendments to create a Deployment-specific DPIA.

Click or tap here to enter text.

Section 2 [G]: Authorised Deployment Location & Times

Note: This section can be used to vary or add further conditions as regards the Deployment Location(s) or the frequency of Deployment. Note, the MPS LFR SOP requires a new MPS LFR Form 1 every 31 days to be submitted.

I hereby grant authority for LFR to be Deployed at the location(s), dates and times set out in the Application or as otherwise confirmed below.	<input type="checkbox"/> Yes <input type="checkbox"/> No
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Click or tap here to enter text.

Further AO comments:

Note: Use this section for any final comments, further control measures and to note any instruction to STOP an LFR Deployment previously authorised under the urgency criteria.

Click or tap here to enter text.

Name:	Click or tap here to enter text.	Rank:	Click or tap here to enter text.
Warrant Number:	Click or tap here to enter text.	Business Area:	Click or tap here to enter text.
Date (dd/mm/yy):	Click or tap here to enter text.	Time (hhmm):	Click or tap here to enter text.



Part 3 – Cancellation Report

Guidance: Refer to the MPS LFR SOP when completing this Part 3.

LFR URN: Click or tap here to enter text.

Date (dd/mm/yy): Click or tap here to enter text.

Section 3 [A]: Person Completing Cancellation Report

Name: Click or tap here to enter text. **Rank:** Click or tap here to enter text.

Warrant Number: Click or tap here to enter text. **Business Area:** Click or tap here to enter text.

Section 3 [B]: Record of Deployment Location & Times

Was LFR Deployed? Yes No

Note: If 'Yes' then please record details below.

Location(s):	Click or tap here to enter text.	Time and Date:	Date (dd/mm/yy)	Time (hhmm)
		From:	Click or tap here to enter text.	Click or tap here to enter text.
		To:	Click or tap here to enter text.	Click or tap here to enter text.

Please provide a diagram or 'Google map' image showing the location of camera vehicle, field of view, and signage locations:-

Click or tap here to enter text.

Please paste photograph(s) of the signage used at Deployment:-

Click or tap here to enter text.

Please explain why the Deployment concluded when it did:-

Click or tap here to enter text.

Was any aspect of the Deployment outside of the authority given by the AO as recorded in the WAD (LFR1 Part 2)? Yes No

Note: If 'Yes' then please record details below.

Click or tap here to enter text.

Section 3 [C]: Outcomes



Part 3 – Cancellation Report

Total number of images on Watchlist:	Click or tap here to enter text.
Total number of individuals on Watchlist:	Click or tap here to enter text.
Estimated flowcount:	Click or tap here to enter text.
Total number of LFR system-generated Alerts:	Click or tap here to enter text.
Total number of Alerts where a decision was taken to Engage an individual:	Click or tap here to enter text.
Total number of Alerts confirmed as correct:	Click or tap here to enter text.
Total number of correct Alerts that result in an Engagement that do not require any further police action:	Click or tap here to enter text.
Number of arrests resulting from correct Alerts:	Click or tap here to enter text.
Number of people stopped, where this was not the result of an Alert:	Click or tap here to enter text.

Description of outcomes from persons engaged not as a result of an Alert:-

Click or tap here to enter text.

Section 3 [D]: Commentary Notes

Please outline any relevant commentary relating to the Deployment:-

Click or tap here to enter text.

Please detail any learning or recommendations for subsequent Deployments:-

Click or tap here to enter text.