



Live Facial Recognition Application / WAD / Cancellation Report

Terms & Definitions: Capitalised terms used in this Form LFR 1 shall have the meaning given to them in the MPS LFR Policy Document unless otherwise defined in this Form LFR 1.

Part 1 – Application

Guidance: Applicants should refer to the *LFR Application Process Guidance Flowchart* and the *LFR Human Rights Consolidated Guidance* when completing this document.

LFR URN: Click or tap here to enter text.

Date (dd/mm/yy): Click or tap here to enter text.

Section 1 [A]: Applicant

Name:	Click or tap here to enter text.	Rank:	Click or tap here to enter text.
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Warrant Number:	Click or tap here to enter text.	Business Area:	Click or tap here to enter text.
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Section 1 [B]: Proposed Location, Dates and Times

Location(s):	Click or tap here to enter text.	Time and Date:		Date (dd/mm/yy)	Time (hhmm)
		From:		Click or tap here to enter text.	Click or tap here to enter text.
		To:		Click or tap here to enter text.	Click or tap here to enter text.

Link to the Location(s) on Google Maps: Click or tap here to enter text.

Does the proposed Deployment location attract particular concerns by reference to those expected to be at the location?	Yes:	<input type="checkbox"/>	No:	<input type="checkbox"/>
	Note:	See the MPS LFR SOP for further guidance.		

If the proposed location does result in higher expectations of please explain the rationale for the location and any additional safeguards and mitigations proposed:

Click or tap here to enter text.

Section 1 [C]: Intelligence Case



Please outline key points from the intelligence case that support the proposed Deployment (and its location) together with any other background points relevant to this application:

Click or tap here to enter text.

Section 1 [D]: Legitimate Aim & Legal Basis

Legitimate Aim: Please confirm the legitimate aim of the proposed Deployment:

Note: Select all that apply to the proposed Deployment. The annotations below indicate which legitimate aims can apply to justify intrusion against which ECHR articles. It does not confirm that there is intrusion against any particular ECHR article – this will be addressed at Section 1[E]. Should it become necessary to seek a different legitimate aim to those listed below, specific legal advice and input from the Data Protection Officer should be obtained before proceeding with this application.

<input type="checkbox"/>	Protect national security ECHR Articles: 8, 10, 11	<input type="checkbox"/>	Ensure public safety ECHR Articles: 8, 9	<input type="checkbox"/>	Prevent disorder and/or crime ECHR Articles: 8, 10, 11
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Please explain how the proposed Deployment achieves the legitimate aim(s):

Click or tap here to enter text.

Law Enforcement Purpose: Please select the 'law enforcement purposes' that apply to the processing of personal data in relation to the proposed Deployment:

Note: Select all that apply to the proposed Deployment - the MPS provides for the use of overt LFR to locate those on a Watchlist where a law enforcement purpose exists. Specific legal advice and input from the Data Protection Officer should be sought for all other proposed uses.

<input type="checkbox"/>	Prevention, investigation, detection or prosecution of criminal offences.	<input type="checkbox"/>	Execution of criminal penalties.	<input type="checkbox"/>	Safeguarding against, and prevention of, threats to public security.
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Legal Basis: Please select the common law policing powers that provide the legal basis for the proposed Deployment:

Note: Select all that apply to the proposed Deployment. Should it become necessary to rely a different common law power to those listed below, specific legal advice should be obtained before proceeding with this application.

<input type="checkbox"/>	Protect life and security.	<input type="checkbox"/>	Preserve order and threats to public security.	<input type="checkbox"/>	Prevent and detect crime.
<input type="checkbox"/>	Bring offenders to justice.	<input type="checkbox"/>	Uphold national security.		

Section 1 [E]: Human Rights Act 1998 and Data Protection Act 2018 - Necessity

How does the intelligence case mean that:

a. the proposed Deployment is <u>necessary</u> to achieve the legitimate aim in a democratic society; and that	Human Rights Act 2018
b. the processing of biometric and other personal data <u>is strictly necessary</u> to achieve the MPS's law enforcement purpose; and that	Data Protection Act 2018
c. the proposed Deployment is <u>necessary</u> on at least one of the following grounds (identify which ground(s) apply):	Data Protection Act 2018 (Please identify all that apply)



i. necessary for the MPS’s lawful policing purposes ¹ for reasons of <u>substantial</u> public interest:	<input type="checkbox"/> Yes	<input type="checkbox"/> No
ii. necessary for the administration of justice:	<input type="checkbox"/> Yes	<input type="checkbox"/> No
iii. necessary for the safeguarding of children and/or of individuals at risk:	<input type="checkbox"/> Yes	<input type="checkbox"/> No
iv. necessary archiving, research or statistical purposes.	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Please outline your rationale for a – c (above) here:

Click or tap here to enter text.

How is the proposed Deployment necessary to respond to a pressing social need notwithstanding expectations people may have in relation to their privacy and other human rights?

Click or tap here to enter text.

Section 1 [F]: Human Rights Act 1998 and Data Protection Act 2018 - Proportionality

Outline how the proposed Deployment is proportionate insofar as:

- a. the policing need to deploy LFR outweighs any human rights infringements; *and*
- b. the policing need to deploy LFR outweighs the data protection rights arising from the processing of personal and biometric data.

Click or tap here to enter text.

What other less intrusive measures have been considered and why would they be unsuitable on their own in achieving the legitimate aim?

Click or tap here to enter text.

Outline any proposed Deployment-specific safeguards that help mitigate impact on human rights and reduce the level of data processing, insofar as it is possible and proportionate to do so.

Click or tap here to enter text.

Section 1 [G]: Watchlist Composition

Note: The intelligence case, proposed timings, location, legitimate aim, legal basis, necessity and proportionality outlined above should directly support and correlate with the Primary Watchlist Category of persons sought through the proposed Deployment, including geographical scope.

The Primary Watchlist Category

Watchlist Categories proposed for inclusion:	Proposed for Inclusion?
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¹ This being defined as ‘is necessary for the exercise of a function conferred on a person by an enactment or rule of law’ in the Data Protection Act 2018. This will typically be the ground relied on to support MPS Deployments of LFR since this recognises the policing powers relied on to support MPS Deployments of LFR since this recognises the policing powers conferred on a Constable.



PWC1 (Wanted on warrant by the courts)	<input type="checkbox"/>
PWC2 (Suspect of having committed, or amount to commit an offence)	<input type="checkbox"/>
PWC3 (Subject to bail conditions, court order or other restriction)	<input type="checkbox"/>
PWC4 (Missing Persons deemed increased risk)	<input type="checkbox"/>
PWC 5 (Presenting a risk of harm to themselves or others)	<input type="checkbox"/>
PWC 6 (Victim, a person who the police have reasonable grounds to suspect that person would have information of importance to progress an investigation, or close associate)	<input type="checkbox"/>

Scope of proposed inclusion:

	Pan-London	Locally (please define)
PWC 1:	<input type="checkbox"/>	<input checked="" type="checkbox"/> Click or tap here to enter text.
PWC 2:	Crime type(s) proposed for inclusion	Geographical scope proposed for inclusion
	Click or tap here to enter text.	Click or tap here to enter text.
	Click or tap here to enter text.	Click or tap here to enter text.
	Click or tap here to enter text.	Click or tap here to enter text.
	Click or tap here to enter text.	Click or tap here to enter text.
	Click or tap here to enter text.	Click or tap here to enter text.
Other: Click or tap here to enter text.		
PWC 3:	Condition/order type(s) proposed for inclusion	Geographical scope proposed for inclusion
	Click or tap here to enter text.	Click or tap here to enter text.
	Click or tap here to enter text.	Click or tap here to enter text.
Other: Click or tap here to enter text.		
PWC 4:	Number of LFR Form(s) 2 attached:	Click or tap here to enter text.
PWC 5:	Number of LFR Form(s) 2 attached:	Click or tap here to enter text.
PWC 6:	Number of LFR Form(s) 2 attached:	Click or tap here to enter text.

Outline how the intelligence case supports the proposed Primary Watchlist Category composition, such that the necessity threshold to Deploy LFR using the Primary Watchlist Category is met.

Click or tap here to enter text.

Outline how the proposed Primary Watchlist Category is not excessive but proportionate to the need to Deploy LFR using the Primary Watchlist Category and there are reasonable grounds to suspect that the proposed Deployment location is one at which one or more persons on the Watchlist will attend during the Deployment.

Click or tap here to enter text.

Additional Watchlist Categories (AWC)

Additional Watchlist Categories proposed for inclusion:	Proposed for Inclusion?
AWC1 (Wanted on warrant by the courts)	<input type="checkbox"/>
AWC2 (Case-by-case addition to the Watchlist)	<input type="checkbox"/>

Scope of proposed inclusion:



	Pan-London	Locally (please define)
AWC 1:	<input type="checkbox"/>	Click or tap here to enter text.
AWC 2:	Number of LFR Form(s) 2 attached:	Click or tap here to enter text.

Outline how the intelligence case supports the proposed Additional Watchlist Categories such that the necessity threshold to use LFR to locate the individuals being sought by the MPS is met.

Click or tap here to enter text.

Outline how the use of Additional Watchlist Categories is not excessive but proportionate to the need to use LFR to locate the individuals being sought by the MPS and there are reasonable grounds to suspect that the proposed Deployment location is one at which one or more persons on the Watchlist will attend during the Deployment..

Click or tap here to enter text.

Watchlist Confirmations

The following are proposed for inclusion on the Watchlist:

a. under 18-years-old	<input type="checkbox"/> Yes <input type="checkbox"/> No	Rationale: Click or tap here to enter text.
b. under 13-years-old	<input type="checkbox"/> Yes <input type="checkbox"/> No	Rationale (with supporting advice attached): Click or tap here to enter text.
	<input type="checkbox"/> DLS Advice <input type="checkbox"/> LFR Advice	
c. disability	<input type="checkbox"/> Yes <input type="checkbox"/> No	Rationale (with supporting advice attached): Click or tap here to enter text.
	<input type="checkbox"/> DLS Advice <input type="checkbox"/> LFR Advice	
d. gender transition	<input type="checkbox"/> Yes <input type="checkbox"/> No	Rationale (with supporting advice attached): Click or tap here to enter text.
	<input type="checkbox"/> DLS Advice <input type="checkbox"/> LFR Advice	
e. non-police originated images	<input type="checkbox"/> Yes – Layer A <input type="checkbox"/> Yes – Layer B <input type="checkbox"/> Yes – Layer C <input type="checkbox"/> No	Rationale: Click or tap here to enter text.

Section 1 [H]: Compliance with LFR Documents

The proposed LFR Deployment outlined in this application is:

<input type="checkbox"/>	compliant with the MPS LFR Documents; or
<input type="checkbox"/>	not compliant with the MPS LFR Documents.



Summarise any non-compliance with MPS LFR Documents and the reasons why the changes from the MPS LFR Documents are necessary and proportionate in the context of the proposed LFR Deployment:

Click or tap here to enter text.



Part 2 - Written Authority Document (WAD)

Guidance: Authorising Officers should refer to the *LFR Authorisation Process Guidance Flowchart* and the *LFR Human Rights Consolidated Guidance* when completing this document.

LFR URN: Click or tap here to enter text.

Section 2 [A]: Urgency

Urgency: If AO is below rank of Superintendent (minimum of Inspector), please detail how the urgency criteria applies.

Click or tap here to enter text.

Section 2 [B]: Compliance with LFR Documents

Note: 'No' to both of the statements in section 2 [B] means the application must be declined.

I have considered this application and am either:

- | | |
|--|---|
| a. satisfied that the proposed Deployment complies with the MPS LFR Documents; or | <input type="checkbox"/> Yes
<input type="checkbox"/> No |
| b. otherwise satisfied that it is nevertheless appropriate to consider the application to Deploy LFR technology. | <input type="checkbox"/> Yes
<input type="checkbox"/> No |

Summarise any non-compliance with MPS LFR Documents and provide rationale if proceeding to consider the application:

Click or tap here to enter text.

Section 2 [C]: Legitimate Aim

Note: 'No' to the statements in section 2 [C] means the application must be declined.

I am satisfied with the legitimate aim for the proposed Deployment and the legal basis underpinning it.

- Yes
 No

Section 2 [D]: Human Rights Act 1998 - Necessity

Note: 'No' to any of the statements in section 2 [D] means the application must be declined.

I am satisfied that the proposed Deployment is:

- | | |
|--|---|
| a. necessary to achieve the legitimate aim in a democratic society: and | <input type="checkbox"/> Yes
<input type="checkbox"/> No |
| b. necessary to respond to a pressing social need: and | <input type="checkbox"/> Yes
<input type="checkbox"/> No |
| c. necessary notwithstanding expectations people may have in relation to their privacy and other human rights. | <input type="checkbox"/> Yes
<input type="checkbox"/> No |

Please outline the rationale for your decisions:

Click or tap here to enter text.

Section 2 [E]: Data Protection Act 2018 - Necessity

Note: 'no' to any of the statements in section 2 [E] means the application must be declined.



I am satisfied that the proposed Deployment is:	
a. strictly necessary to achieve the MPS's law enforcement purposes: and	<input type="checkbox"/> Yes <input type="checkbox"/> No
b. necessary to respond to a pressing social need.	<input type="checkbox"/> Yes <input type="checkbox"/> No

Please outline the rationale for your decisions:

Click or tap here to enter text.

I am satisfied that the proposed Deployment is necessary on at least one of the following grounds:	
v. necessary for the MPS's lawful policing purposes ² for reasons of <u>substantial</u> public interest: and	<input type="checkbox"/> Yes <input type="checkbox"/> No
vi. necessary for the administration of justice: and	<input type="checkbox"/> Yes <input type="checkbox"/> No
vii. necessary for the safeguarding of children and/or of individuals at risk.	<input type="checkbox"/> Yes <input type="checkbox"/> No
viii. necessary archiving, research or statistical purposes.	<input type="checkbox"/> Yes <input type="checkbox"/> No

Please outline the rationale for your decisions:

Click or tap here to enter text.

Section 2 [F]: Human Rights Act 1998 and Data Protection Act 2018 - Proportionality

Note: 'No' to any of the statements in section 2 [F] means the application must be declined.

I am satisfied that the proposed Deployment is proportionate because the policing need to deploy LFR outweighs i) any human rights infringements; and ii) the data protection rights arising from the processing of personal and biometric data:	<input type="checkbox"/> Yes <input type="checkbox"/> No
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Please outline the rationale for your decision:

Click or tap here to enter text.

I acknowledge that the Deployment of LFR will result with the infringement of human rights and processing of personal data of persons not on the Watchlist. However, I am satisfied that the safeguards and mitigants detailed within the MPS LFR Documents, and those detailed below, provide sufficient mitigation to ensure that the Deployment will be proportionate:	<input type="checkbox"/> Yes <input type="checkbox"/> No
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Please outline the rationale for your decision:

Click or tap here to enter text.

Section 2 [G]: Watchlist Considerations

Note: 'No' to the statement in section 2 [G] means the application must be declined.

² This being defined as 'is necessary for the exercise of a function conferred on a person by an enactment or rule of law' in the Data Protection Act 2018. This will typically be the ground relied on to support MPS Deployments of LFR since this recognises the policing powers relied on to support MPS Deployments of LFR since this recognises the policing powers conferred on a Constable.



I authorise the Primary Watchlist composition. I am satisfied that all reasonable steps have been taken to ensure that the composition of the Primary Watchlist Category complies with MPS LFR Documents, including the legality, necessity and proportionality criteria:	<input type="checkbox"/> Yes <input type="checkbox"/> No
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Please outline the rationale for your decisions:

Click or tap here to enter text.

Specific considerations relating to the Watchlist for approval:

a. under 18 years-old	<input type="checkbox"/> Approved <input type="checkbox"/> Images must not be used
b. under 13 years-old	<input type="checkbox"/> Approved <input type="checkbox"/> Images must not be used
c. disability	<input type="checkbox"/> Approved <input type="checkbox"/> Images must not be used
d. gender transition	<input type="checkbox"/> Approved <input type="checkbox"/> Images must not be used
e. non-police originated images	<input type="checkbox"/> Approved <input type="checkbox"/> Images must not be used

I authorise the Additional Watchlist composition. I am satisfied that all reasonable steps have been taken to ensure that the composition of the Additional Watchlist Category complies with MPS LFR Documents, including the legality, necessity and proportionality criteria:	<input type="checkbox"/> Yes <input type="checkbox"/> No
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Please outline the rationale for your decisions:

Click or tap here to enter text.

Section 2 [H]: Safeguards and Mitigants

Note: 'No' to any of the statements in section 2 [H] means the application must be declined.

I have reviewed and adopted (amending where necessary and appropriate) the Data Protection Impact Assessment, Equality Impact Assessment (including in relation to the Public Sector Equality Duty) and the Surveillance Camera Commissioner's Self-Assessment. I am satisfied that the control measures detailed within those documents, the diligence already conducted on the algorithm in the context of this planned Deployment, and further measures specified at Section 2(I) of this document are appropriate mitigants for the Deployment.	<input type="checkbox"/> Yes <input type="checkbox"/> No
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I have reviewed the Community Impact Assessment (CIA) for the Deployment with particular regard to any points raised in relation to (i) those proposed for inclusion on the Watchlist and (ii) those likely to pass the LFR system. I am satisfied that the control measures in place for the Deployment are sufficient to address any concerns identified in the CIA.	<input type="checkbox"/> Yes <input type="checkbox"/> No
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I am directing that all Police Officers and Police Staff engaged in the Deployment must receive MPS LFR Training as per the MPS LFR Documents prior to the Deployment.	<input type="checkbox"/> Yes <input type="checkbox"/> No
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Please summarise any guidance and direction received from the Data Protection Officer (DPO) and Information Law Security Group (ILSG) for this Deployment.

Information: The roles of the DPO and the ILSG are an important part of the process in the pre-Deployment planning stage of any LFR Deployment. Their advice is crucial to ensuring that any proposals meet the requirements of the DPA 2018. As well as providing advice, the DPO is able to monitor compliance with the DPA 2018, and in doing so provides assurance to the Commissioner. The DPO's involvement in the pre-Deployment planning process is evidenced by their sign-off of the overarching DPIA for LFR and any amendments to create a Deployment-specific DPIA.

Click or tap here to enter text.

Section 2 [I]: Authorised Deployment Location & Times

I hereby grant authority for LFR to be Deployed at the location(s), dates and times set out below, whilst using a Watchlist that complies with my directions given in section 2[G]. Yes No

Location(s):	Click or tap here to enter text.	Time and Date:	Date (dd/mm/yy)	Time (hhmm)
		From:	Click or tap here to enter text.	Click or tap here to enter text.
		To:	Click or tap here to enter text.	Click or tap here to enter text.

Further AO comments:

Note: Use this section for any final comments, further control measures and to note any instruction to STOP an LFR Deployment previously authorised under the urgency criteria.

Click or tap here to enter text.

Name:	Click or tap here to enter text.	Rank:	Click or tap here to enter text.
Warrant Number:	Click or tap here to enter text.	Business Area:	Click or tap here to enter text.
Date (dd/mm/yy):	Click or tap here to enter text.	Time (hhmm):	Click or tap here to enter text.



Part 3 – Cancellation Report

Guidance: Refer to the MPS LFR SOP when completing this Part 3.

LFR URN: Click or tap here to enter text.

Date (dd/mm/yy): Click or tap here to enter text.

Section 3 [A]: Person Completing Cancellation Report

Name: Click or tap here to enter text.

Rank: Click or tap here to enter text.

Warrant Number: Click or tap here to enter text.

Business Area: Click or tap here to enter text.

Section 3 [B]: Record of Deployment Location & Times

Was LFR Deployed? Yes No

Note: If 'Yes' then please record details below.

Location(s): Click or tap here to enter text.	Time and Date:		Date (dd/mm/yy)	Time (hhmm)
	From:	Click or tap here to enter text.	Click or tap here to enter text.	Click or tap here to enter text.
	To:	Click or tap here to enter text.	Click or tap here to enter text.	Click or tap here to enter text.

Please provide a diagram or 'Google map' image showing the location of camera vehicle, field of view, and signage locations:-

Click or tap here to enter text.

Please paste photograph(s) of the signage used at Deployment:-

Click or tap here to enter text.

Please explain why the Deployment concluded when it did:-

Click or tap here to enter text.

Was any aspect of the Deployment outside of the authority given by the AO as recorded in the WAD (LFR1 Part 2)? Yes No

Note: If 'Yes' then please record details below.

Click or tap here to enter text.

Section 3 [C]: Outcomes

Total number of images on Watchlist: Click or tap here to enter text.



Total number of individuals on Watchlist:	Click or tap here to enter text.
Estimated flowcount:	Click or tap here to enter text.
Total number of LFR system-generated Alerts:	Click or tap here to enter text.
Total number of Alerts where a decision was taken to Engage an individual:	Click or tap here to enter text.
Total number of Alerts confirmed as correct:	Click or tap here to enter text.
Total number of correct Alerts that result in an Engagement that do not require any further police action:	Click or tap here to enter text.
Number of arrests resulting from correct Alerts:	Click or tap here to enter text.
Number of people stopped, where this was not the result of an Alert:	Click or tap here to enter text.
Description of outcomes from persons engaged not as a result of an Alert:-	
Click or tap here to enter text.	
Section 3 [D]: Commentary Notes	
Please outline any relevant commentary relating to the Deployment:-	
Click or tap here to enter text.	
Please detail any learning or recommendations for subsequent Deployments:-	
Click or tap here to enter text.	